

### **UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

Docket No. Q147-US2

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

### TO THE COMMISSIONER FOR PATENTS

**Mail Stop Patent Application** P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for f	filing under 35 U.S.C.	111(a) and 37 C.F.R.	1.53(b) is a new utility	patent application for an
nvention entitled:	•		•	• •

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent ap	plication for an
invention entitled:	
IMPLANTABLE MEDICAL POWER MODULE	9927
and invented by:	
Hisashi Tsukamoto Pang Hoo Tan	22141
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:	
☑ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _	10/310,390
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: _	10/042,898
☐ C ntinuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.:	10/014,757
Enclosed are:	
Application Elements	
1. 🛭 Filing fee as calculated and transmitted as described below	
2. Specification having pages and including the following:	
a. 🛛 Descriptive Title of the Invention	•
b. 🛮 Cross References to Related Applications (if applicable)	
c.   Statement Regarding Federally-sponsored Research/Development (if applicable)	
d.   Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix	
e. 🛛 Background of the Invention	
f. 🛛 Brief Summary of the Invention	
g. 🛭 Brief Description of the Drawings (if filed)	
h. 🛭 Detailed Description	
i. 🛛 Claim(s) as Classified Below	
j. 🛛 Abstract of the Disclosure	

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. Q147-US2

Total Pages in this Submission

### Application Elements (C ntinued)

3.	$\boxtimes$	Drawing(s) (when necessary as prescribed by 35 USC 113)
	a.	
	b.	☐ Informal Number of Sheets
4.	X	Oath or Declaration
	a.	☐ Newly executed (original or copy) ☐ Unexecuted
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
	C.	☐ With Power of Attorney ☐ Without Power of Attorney
	d.	☐ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. 8.		Application Data Sheet (See 37 CFR 1.76)  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
	a.	☐ Computer Readable Form (CFR)
	b.	☐ Specification Sequence Listing on:
		i.  CD-ROM or CD-R (2 copies); or
		ii. 🔲 Paper
	C.	□ Statement(s) Verifying Identical Paper and Computer Readable Copy
		Accompanying Application Parts
9.		Assignment Papers (cover sheet & document(s))
10.		37 CFR 3.73(B) Statement (when there is an assignee)
11.		English Translation Document (if applicable)
12.	$\boxtimes$	Information Disclosure Statement/PTO-1449
13.	$\boxtimes$	Preliminary Amendment
14.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	$\boxtimes$	Certificate of Mailing
		☐ First Class ☒ Express Mail (Specify Label No.): EV334662528US

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. Q147-US2

Total Pages in this Submission

		Acc mpanying Application Parts (Continued)
17.	×	Applicant claims small entity status. See 37 CFR 1.27.
		Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.		Additional Enclosures (please identify below):
19.		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. Q147-US2

Total Pages in this Submission

#### **Fee Calculation and Transmittal**

	CLAIMS	S AS FILED		<u></u>		
#Filed	#Allowed	#Extra		Rate		Fee
20	- 20 =	0	x	\$9.00		\$0.00
3	- 3 =	0	x	\$43.00		\$0.00
aims (check if	applicable)					\$0.00
					BASIC FEE	\$385.00
purpose)						\$0.00
				TOTAL	FILING FEE	\$385.00
v. e amount of overpayment. y additional filin e issue fee set i	to charge and \$385.00 ng fees require in 37 C.F.R. 1.	credit Deposit as filing fee.	Account	t No.	50-0921 lowance,	
	aims (check if purpose)  purpose)  punt of eby authorized overpayment. y additional filir e issue fee set to 37 C.F.R. 1.3	#Filed #Allowed  20 -20 =  3 - 3 =  aims (check if applicable)  purpose)  purpose)  amount of to eby authorized to charge and overpayment.  y additional filing fees required issue fee set in 37 C.F.R. 1. 20 37 C.F.R. 1. 311(b).	20 -20 = 0  3 -3 = 0  aims (check if applicable)  purpose)  but of to cover the filing eby authorized to charge and credit Deposit v. e amount of \$385.00 as filing fee. overpayment. y additional filing fees required under 37 C.F. e issue fee set in 37 C.F.R. 1.18 at the mailing of 37 C.F.R. 1.311(b).	#Filed #Allowed #Extra  20	#Filed #Allowed #Extra Rate  20	#Filed #Allowed #Extra Rate  20

CC:

Reg. No. 38,402 Quallion LLC P.O. Box 923127 Sylmar, CA 91392-3127 818-833-2003 (ph) 818-833-2065 (fax)

**PATENT** 

Docket No.: Q147-US2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hisashi Tsukamoto et al.

Application No.

N/A

N/A

Examiner:

Group Art Unit:

N/A

Filing Date:

November 19, 2003

Title: IMPLANTABLE MEDICAL

**POWER MODULE** 

CERTIFICATE OF MAILING VIA EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: EV334662528US Dated November 19, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the following attached documents are being deposited with the United States Postal Service for "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- 1. Transmittal Letter (in duplicate)
- Preliminary Amendment 2.
- 3. 2 Sheets of Replacement Formal Drawings (A4 paper)
- Copy of Patent Application, drawings and Combined Declaration and 4. Power of Attorney as originally filed
- Information Disclosure Statement (in duplicate) and PTO Form 1449 5.
- Self addressed stamped postcard 6.

November 19, 2003 Date of Deposit Lisa K. Robbins Name of Person, Mailing paper or fee Signature